



## UNITED STATES PATENT AND TRADEMARK OFFICE

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APPLICATION NUMBER	FILING OR 371 (c) DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/607,059	06/27/2003	Gottliard Schleicher	02P05875

24252  
 OSRAM SYLVANIA INC  
 100 ENDICOTT STREET  
 DANVERS, MA 01923

CONFIRMATION NO. 4148

## FORMALITIES LETTER



\*OC000000010861985\*

Date Mailed: 09/11/2003

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

Items Required To Avoid Abandonment:

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

SUMMARY OF FEES DUE:

Total additional fee(s) required for this application is \$130 for a Large Entity

- \$130 Late oath or declaration Surcharge.

Replies should be mailed to: Mail Stop Missing Parts  
 Commissioner for Patents  
 P.O. Box 1450  
 Alexandria VA 22313-1450

RECEIVED  
 SEP 15 2003  
 Patent Department

*A copy of this notice **MUST** be returned with the reply.*

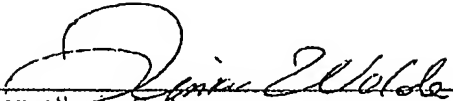
11/03/2003 SSANDARA 00000007 150685 10607059

01 FC:1051 130.00 DA

Oct-17-03 04:35pm From-OSRAM SYLVANIA

847-726-6599

T-102 P.07/10 F-303

  
Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

**Response To Notice T File Missing Parts Of Application  
Filing Date Granted (PTO-1533) (Large Entity)****Docket No.  
02P05875****In Re Application Of:  
Gotthard Schleicher****Serial No.  
10/607,059****Filing Date  
06/27/2003****Examiner****Group Art Unit****Invention:  
SUPPLY UNIT****TO THE COMMISSIONER FOR PATENTS:****Mail Stop Missing Parts****The fee of \$130.00 is to be paid as follows:**

- ☐ A check in the amount of the fee is enclosed.
- ☒ The Director is hereby authorized to charge any fees which may be required, or credit any overpayment, to Deposit Account No. **15-0685**
- ☐ If an additional extension of time is required, please consider this a petition therefor and charge any additional fees which may be required to Deposit Account No.

Kenneth D. Labudda  
Signature

**Dated: 17 October 2003**

**Kenneth D. Labudda, USPTO Reg. No. 41,134  
OSRAM SYLVANIA INC.  
800 N. Church St.  
Lake Zurich, IL 60047  
TeL (847) 726-6448**

**CC:**

I certify that this document and fee is being deposited  
on \_\_\_\_\_ with the U.S. Postal Service as  
first class mail under 37 C.F.R. 1.8 and is addressed to the  
Commissioner for Patents, P.O. Box 1450, Alexandria, VA  
22313-1450.

**Signature of Person Mailing Correspondence****Typed or Printed Name of Person Mailing Correspondence**

**Response To Notice To File Missing Parts Of Application  
Filing Date Granted (PTO-1533)(Large Entity)**Docket No.  
02P05875In Re Application Of:  
Gotthard SchleicherSerial No.  
10/607,059Filing Date  
06/27/2003

Examiner

Group Art Unit

Invention:  
SUPPLY UNITTO THE COMMISSIONER FOR PATENTS:Mail Stop Missing Parts☒ Completion of application fees as calculated below:☐ Utility application filing fee \_\_\_\_\_☐ Design application filing fee \_\_\_\_\_☐ Total number of independent claims = \_\_\_\_\_☐ Total number of claims = \_\_\_\_\_☐ Multiple dependent claims \_\_\_\_\_☒ Surcharge for late payment of filing fee and/or late filing of original declaration or oath \$130.00☐ Petition and fee for filing by other than all the inventors or a person not the inventor \_\_\_\_\_☐ Fee for processing an application filed with a non-English language specification \_\_\_\_\_☐ Fee for processing and retention of application \_\_\_\_\_Total completion of application fees \$130.00

This is a request under the provisions of 37 CFR 1.136(a) to extend the period for filing a response to the above-identified Notice to File Missing Parts of Application. The requested extension is as follows (check time period desired). If an additional time extension is required, please consider this a petition therefor.

☐ One month ☐ Two months ☐ Three months ☐ Four months ☐ Five monthsfrom: \_\_\_\_\_ until: \_\_\_\_\_  
Date Date

Total time extension fees \_\_\_\_\_

Total fees due \$130.00

**Response To Notice To File Missing Parts Of Application  
Filing Date Granted (PTO-1533)(Large Entity)**Dock 1 No.  
02P05875In Re Application Of:  
Gutthard SchleicherSerial No.  
10/607,059Filing Date  
06/27/2003

Examiner

Group Art Unit

Invention:  
SUPPLY UNITMail Stop Missing PartsTO THE COMMISSIONER FOR PATENTS:

This is a response to the Notice to File Missing Parts of Application - Filing Date Granted (PTO-1533) mailed on  
09/11/2003  
Date

Enclosed herewith for filing are the following:

- ☒ A copy of the Notice to File Missing Parts of Application - Filing Date Granted (PTO-1533). (REQUIRED)
- ☐ An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date.
- ☒ A properly signed oath or declaration in compliance with 37 CFR 1.63.
- ☐ An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date.
- ☐ A verified English translation of the non-English language application papers as originally filed. It is requested that this translation be used as the copy for examination purposes in the United States Patent and Trademark Office.
- ☐ Other (list):

<b>CERTIFICATE OF TRANSMISSION BY FACSIMILE (37 CFR 1.8)</b>		<b>Docket No.</b>	
Applicant(s): <b>Gotthard Schleicher</b>		<b>02P05875</b>	
<b>Serial No.</b> 10/607,059	<b>Filing Date</b> 06/27/2003	<b>Examiner</b>	<b>Group Art Unit</b>
Invention: <b>SUPPLY UNIT</b>			
<p>I hereby certify that this <u>Form PTO-1533 (3 pg.), Notice to File Missing Parts (2 pg.), Declaration (3 pg.)</u> (Identify type of correspondence) is being facsimile transmitted to the United States Patent and Trademark Office (Fax. No. <u>(703) 746-4060</u>) on <u>October 17, 2003</u> (Date)</p> <p><u>Kenneth D. Labudda, Reg. No. 41,134</u> (Typed or Printed Name of Person Signing Certificate) <u>Kenneth D. Labudda 10/17/2003</u> (Signature)</p> <p><b>Note: Each paper must have its own certificate of mailing.</b></p>			

P18/REV01



# FAX

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Kenneth D. Labudda  
Osram Sylvania Inc.  
800 N. Church St.  
Lake Zurich, Illinois 60047  
Telephone: (847) 726-6448  
Fax: (847) 726-6424

Date October 17, 2003

Recipient's Fax # (703) 746-4060

To: Missing Parts Processor

Company: USPTO - Missing Parts Branch

From: Kenneth D. Labudda

Company: Osram Sylvania Law Dept. (Lake Zurich, IL)

Number of pages  
transmitted (including  
cover sheet)

10

If there are any  
questions or problems  
regarding this  
transmission only,  
please contact:

Name	Ken Labudda
Company	Osram Sylvania Inc.
Local Phone	847-726-6448
Or fax #	847-726-6424

**Special Notation:**

Dear Sir or Madame,

Attached please find a response to the Notice to File Missing Parts for the captioned case.

Thank you,  
Kenneth D. Labudda

**OSRAM  
SYLVANIA**